

**UNITED STATES DISTRICT COURT**  
for the  
**DISTRICT OF MASSACHUSETTS**

**SECURITIES AND EXCHANGE COMMISSION**

*Plaintiff*

v.

Civil Action No.:  
**1:17-CV-11633-DJC**

**NAVELLIER & ASSOCIATES, INC, ET AL.**

*Defendant*

**SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)*

Louis Navellier  
c/o Peter Unger, Esq./Joseph Mauro, Esq.  
Arent Fox LLP  
1717 K Street  
Washington, DC 20006- 5344

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Marc Jones, Esq.  
SEC  
33 Arch Street #2400, Boston, MA 02110

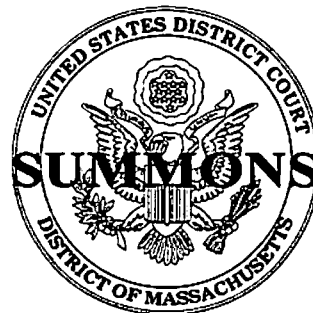
If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

**ROBERT M. FARRELL**

*CLERK OF COURT*

*/s/ – Yvonne Franklin*

*Signature of Clerk or Deputy Clerk*



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PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for (name of individual and title, if any) Louis Wappler  
was received by me on (date) 8/31/17.

☐ I personally served the summons on the individual at (place) \_\_\_\_\_  
\_\_\_\_\_ on (date) \_\_\_\_\_; or

☐ I left the summons at the individual's residence or usual place of abode with (name) \_\_\_\_\_  
\_\_\_\_\_, a person of suitable age and discretion who resides there,  
on (date) \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☐ I served the summons on (name of individual) \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of (name of organization) \_\_\_\_\_  
\_\_\_\_\_ on (date) \_\_\_\_\_; or

☐ I returned the summons unexecuted because \_\_\_\_\_; or

☒ Other (specify): Counsel agreed to accept service. Summons & Complaint emailed to counsel on 8/31/17

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

9/1/17  
Date

Stephanie Desisto  
Server's Signature  
STEPHANIE DESISTO ANALYST  
Printed name and title  
33 Arch St #2400  
Boston, MA 02110  
Server's Address

Additional information regarding attempted service, etc: